

# Physics Potential of UNO and Status Update

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B-L Workshop at LBNL  
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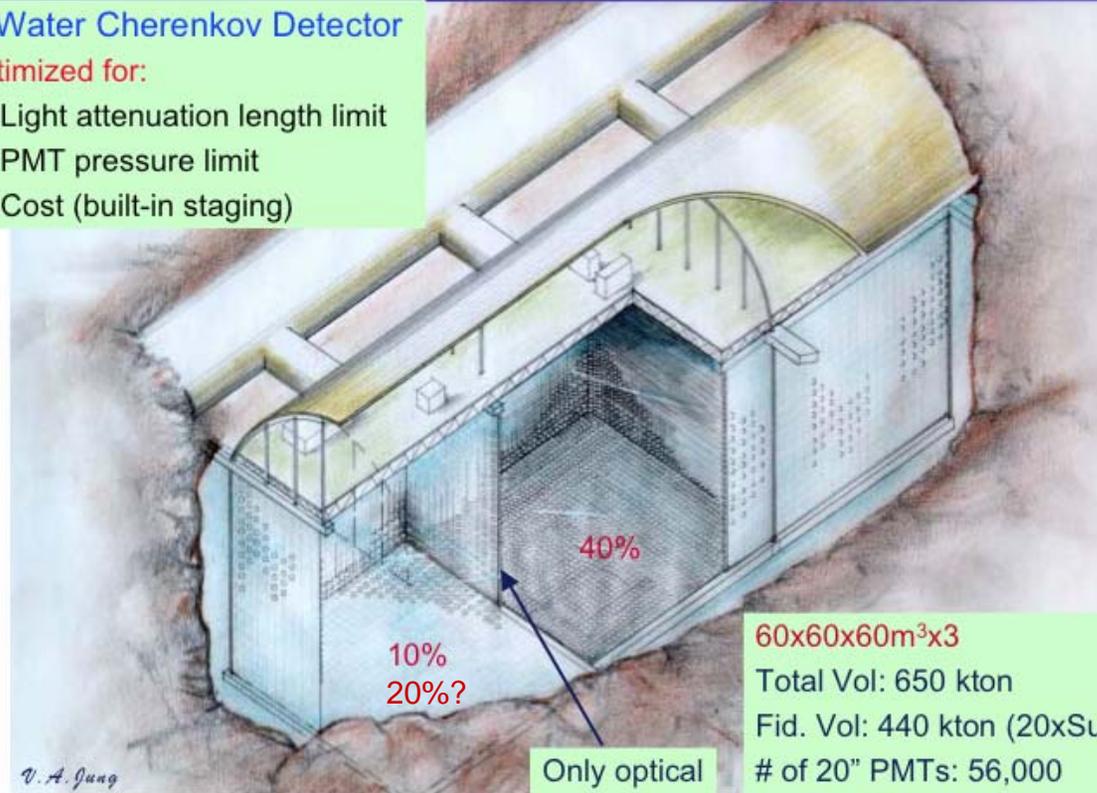


# Conceptual Design of UNO

## UNO Detector Conceptual Design

A Water Cherenkov Detector  
optimized for:

- Light attenuation length limit
- PMT pressure limit
- Cost (built-in staging)



60x60x60m<sup>3</sup>x3

Total Vol: 650 kton

Fid. Vol: 440 kton (20xSuperK)

# of 20" PMTs: 56,000

# of 8" PMTs: 14,900



# History of UNO

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- Proposed in 1999 at NNN99
- Whitepaper , July 2002 presented at Snowmass, signed by 23 institutions, 49 members: proto-collaborators (22 institutions, 32 members: interest group)
- UNO Narrative for HEPAP 2003 report
- August, 2003: Proto-collaboration evolved to collaboration
- April 2004: The collaboration made up of 40 institutions, 94 members, and 7 countries ( has grown since 2002)
- April 6, 2005 UNO meeting in France followed by NNN05
- EOI/R&D proposal 2005

Visit UNO website at <http://nngroup.physics.sunysb.edu/uno/>



# Physics Menu

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## □ Lepton number violation = neutrino oscillation

- Very long baseline neutrino oscillation ( see Fermilab/BNL study report)
  - Precise measurement of  $\theta_{23}$  and  $|\Delta m^2_{23}|$
  - Measurement of  $\theta_{13}$  and possibly  $\delta_{CP}$
  - Determine the sign of  $\Delta m^2_{23}$  to find out hierarchy
- Atmospheric neutrinos (see Kajita@NOON04, Shiozawa@TAUP2004)
  - Precise measurement of  $\theta_{23}$  and  $\Delta m^2_{23}$
  - Possible measurement of  $\theta_{13}$

## □ Baryon number violation

- Nucleon decays such as  $p \rightarrow e^+ \pi^0$  and  $\bar{\nu} K^+$  (and others in a long list)
- $n - \bar{n}$  oscillation ( $|\Delta B|=2$  process)
- B-L violating nucleon decay such as  $p \rightarrow e^- \pi^+ \pi^+$

## □ Astrophysics

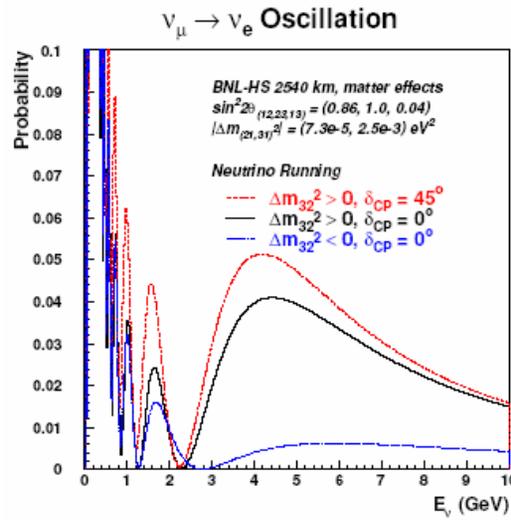
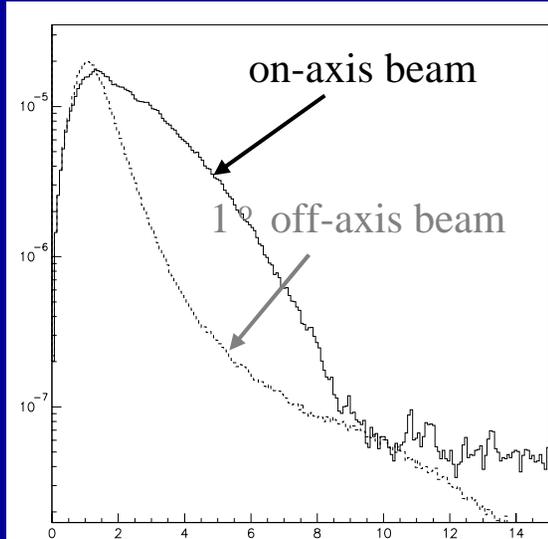
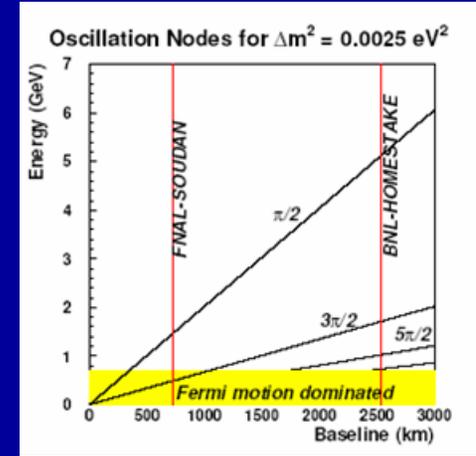
- Neutrinos from supernovae as far as local group galaxies including M31
- Relic neutrinos from past supernovae
- Solar neutrinos



# Very Long Baseline Neutrino Oscillation

## Very long baseline wideband neutrino beam

- Use more than one oscillation nodes
- Avoid energy range where Fermi motion dominates
- Use different behaviors of  $\nu$  energy spectra at different energy ranges



$E_\nu$  (GeV)

$E_\nu$  (GeV)



# Very Long Baseline Neutrino Oscillation

## □ First full simulation by Stony Brook group (See NNN06 Proceedings)

For details also: <http://nngroup.physics.sunysb.edu/uno/publications.shtml>.

- Use of SK atmospheric neutrino MC (40% PMT coverage)
  - Standard SK-I analysis package + special  $\pi^0$  finder (POLfit)
  - Re-weight with the wideband beam spectra
  - Normalize with QE events: 12,000 events for  $\nu_\mu$ , 84 events for beam  $\nu_e$  for 0.5 Mt F.V. with 5 years of running, 2,540 (1,480) km baseline



2500 kt • MW •  $10^7$  sec  
with BNL 30 GeV AGS

BNL to Homestake ↑

Fermilab to Henderson ↗

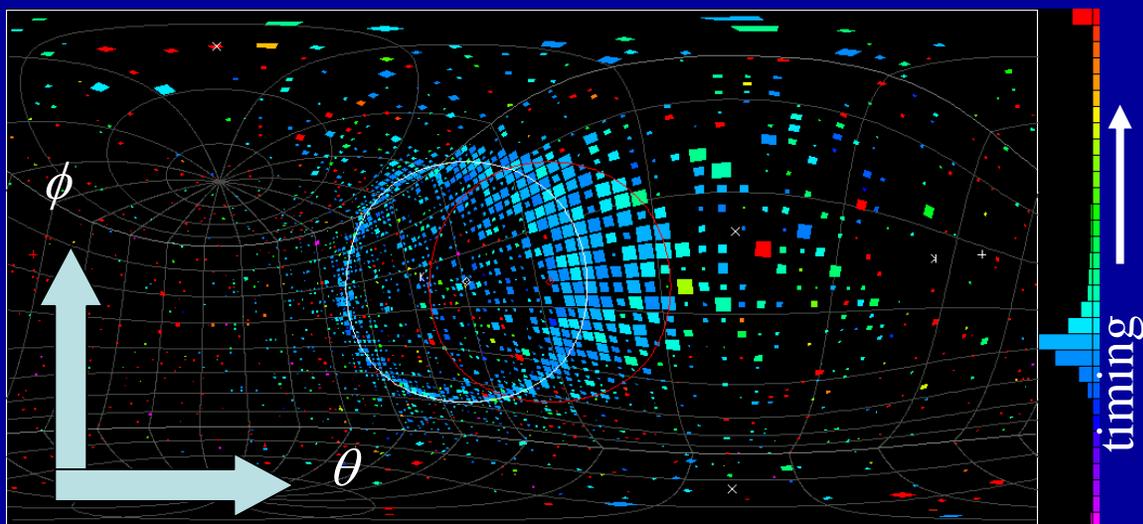
## ● Oscillation parameters used:

- $\Delta m_{21}^2 = 7.3 \times 10^{-5} \text{ eV}^2$ ,  $\Delta m_{31}^2 = 2.5 \times 10^{-3} \text{ eV}^2$
- $\sin^2 2\theta_{ij} (12, 23, 13) = 0.86/1.0/0.04$ ,  $\delta_{CP} = 0, +45, +135, -45, -135^\circ$
- Osc. prob. including matter effect (by B.Viren)

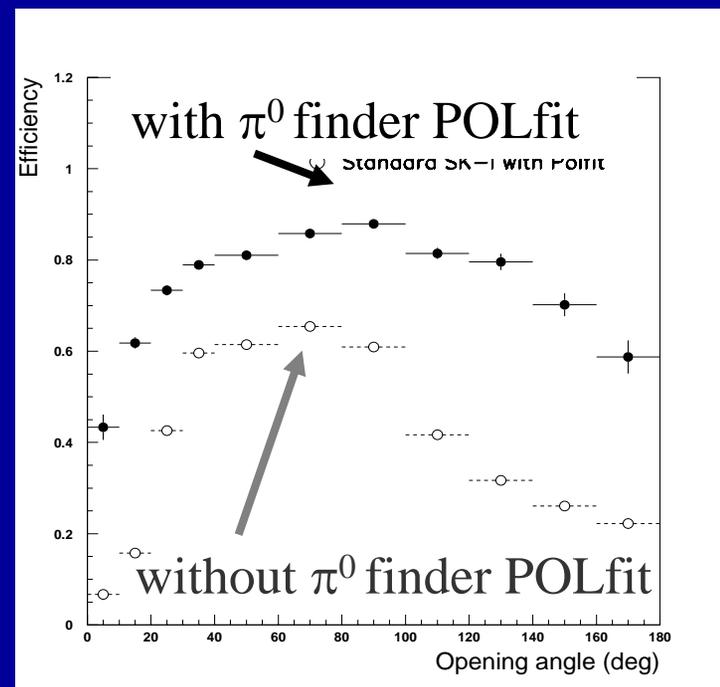


# Very Long Baseline Neutrino Oscillation

- $\pi^0$  detection capability of a water Cherenkov (=SK) with POLfit



A real K2K event detected by SK with two e-like rings identified as a single  $\pi^0$  using a special  $\pi^0$  finder





# Very Long Baseline Neutrino Oscillation

## □ $\nu_\mu \rightarrow \nu_e$ oscillation

- $\nu_\mu \rightarrow \nu_e$  signal:  $\nu_e + N \rightarrow e^- + X$  (invisible)      Single e-like ring events
- Major background sources:
  - NC  $\pi^0$  production,  $\nu_x + N \rightarrow \nu_x + \pi^0 (-\rightarrow \gamma\gamma_{\text{missing}}) + X$  (invisible)
  - $\nu_e$  contamination in the  $\nu_\mu$  beam      Single e-like ring events

## □ Event selection

- Select single e-like ring events w/o  $\pi^0$  finder ( SK cut)
- Turn on  $\pi^0$  finder and use its information to remove  $\pi^0$  events

## □ $\pi^0$ background removal

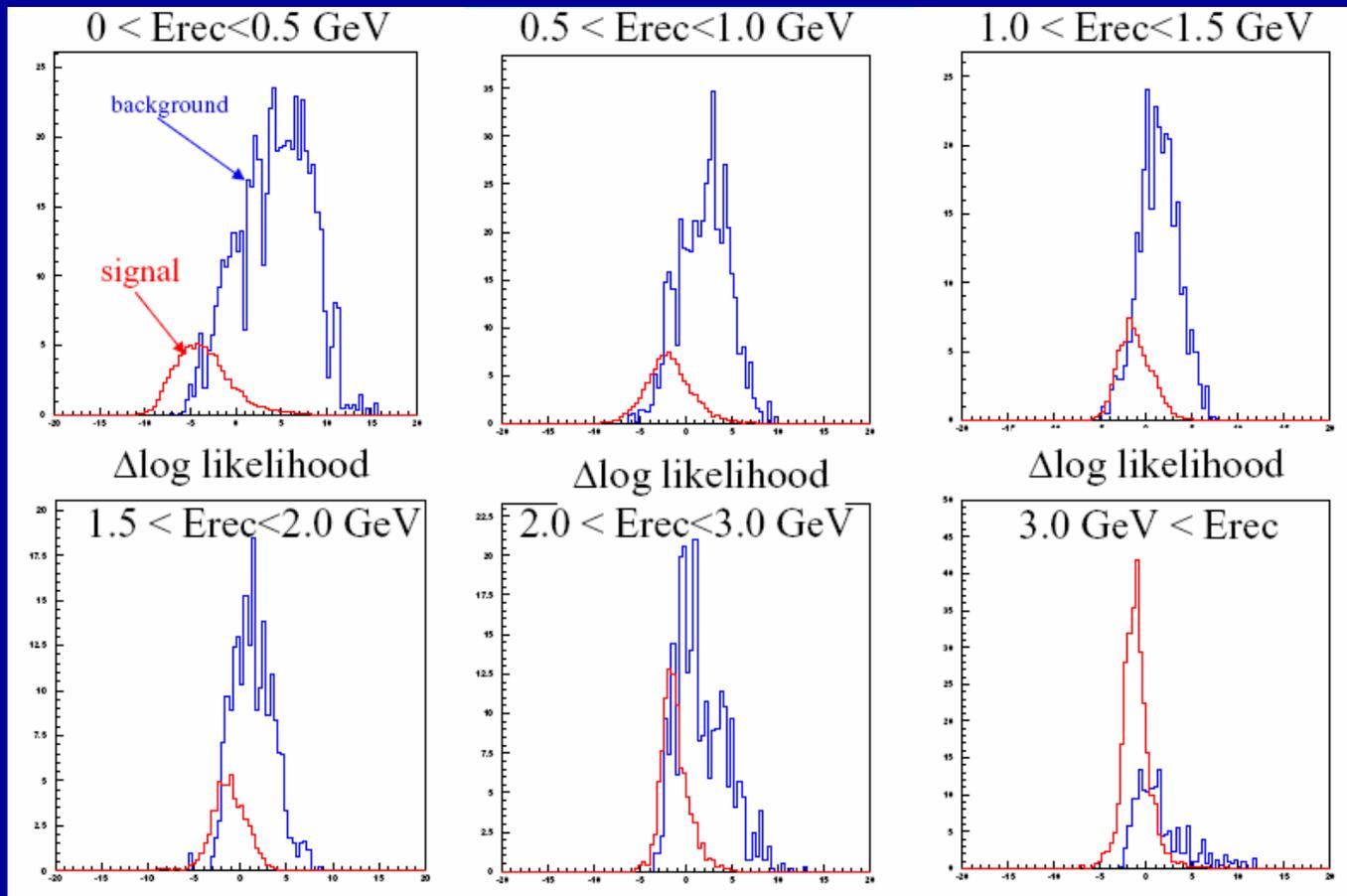
- Using 9 variables that carry information about nature of the e-like ring, charge distribution, and about the event topology, two likelihood functions are calculated for two hypotheses, signal or background.

For details: <http://nngroup.physics.sunysb.edu/uno/publications.shtml> or  
NNN06 Proceedings



# Very Long Baseline Neutrino Oscillation

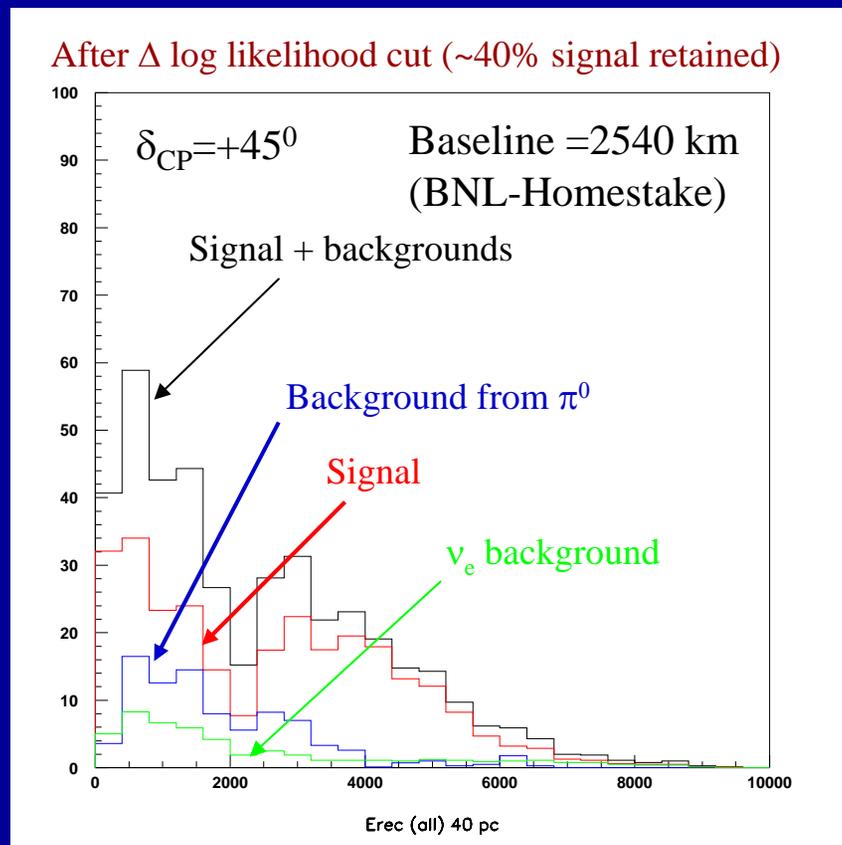
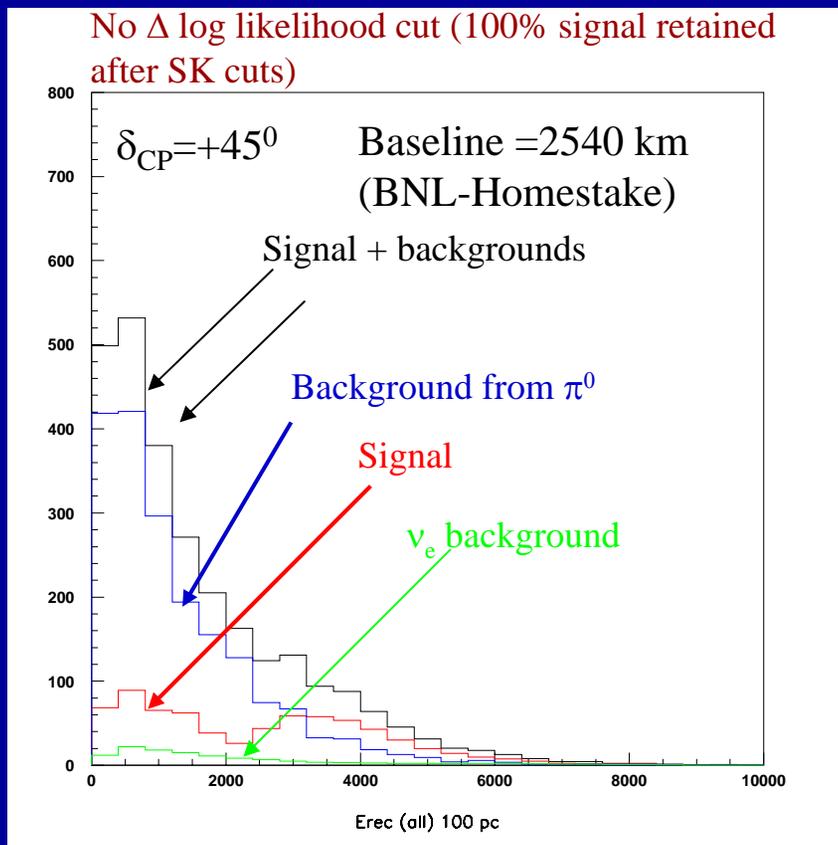
- $\pi^0$  background removal:  $\log [l_h(\text{bkg})/l_h(\text{signal})]=\Delta \log \text{likelihood}$ 
  - Apply a cut on  $\Delta \log \text{likelihood}$  to retain 40% of signal after SK cuts





# Very Long Baseline Neutrino Oscillation

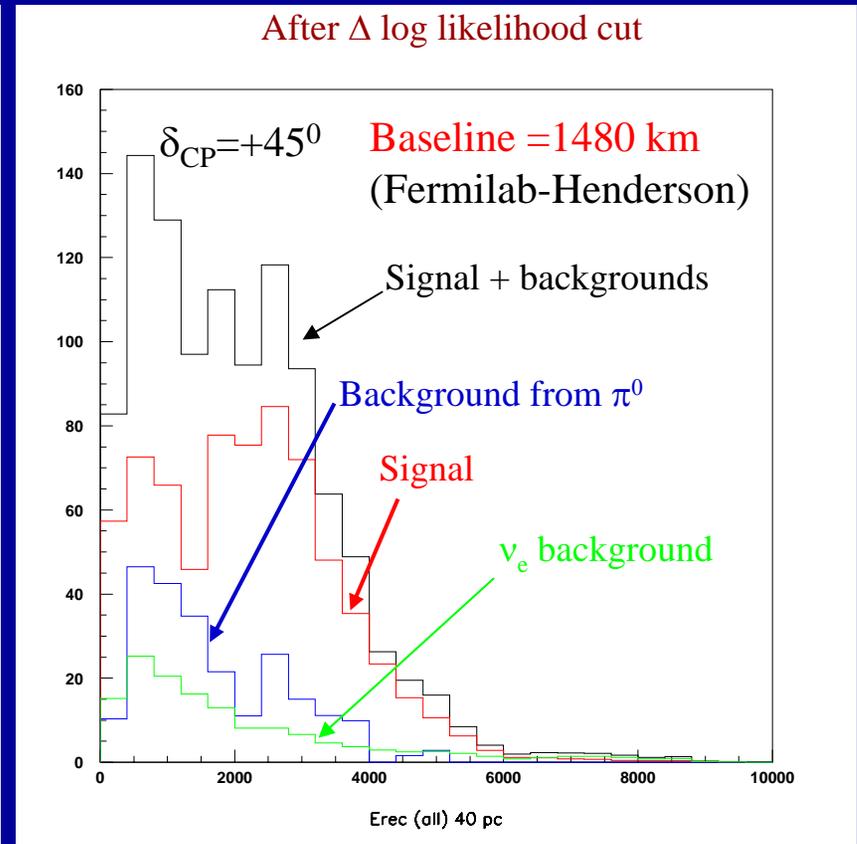
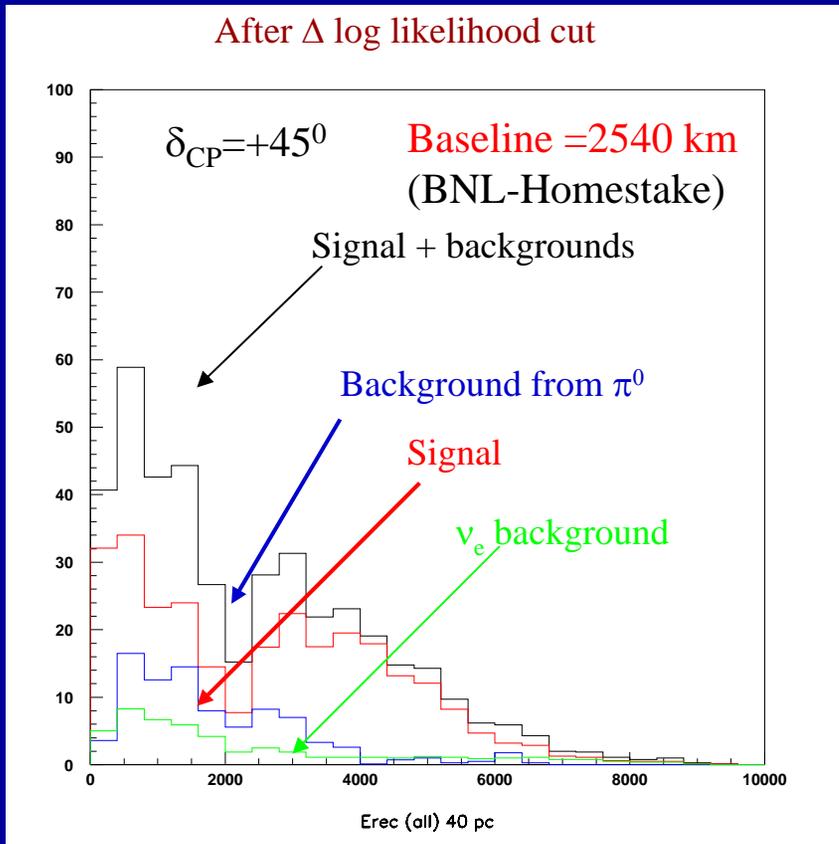
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# Very Long Baseline Neutrino Oscillation

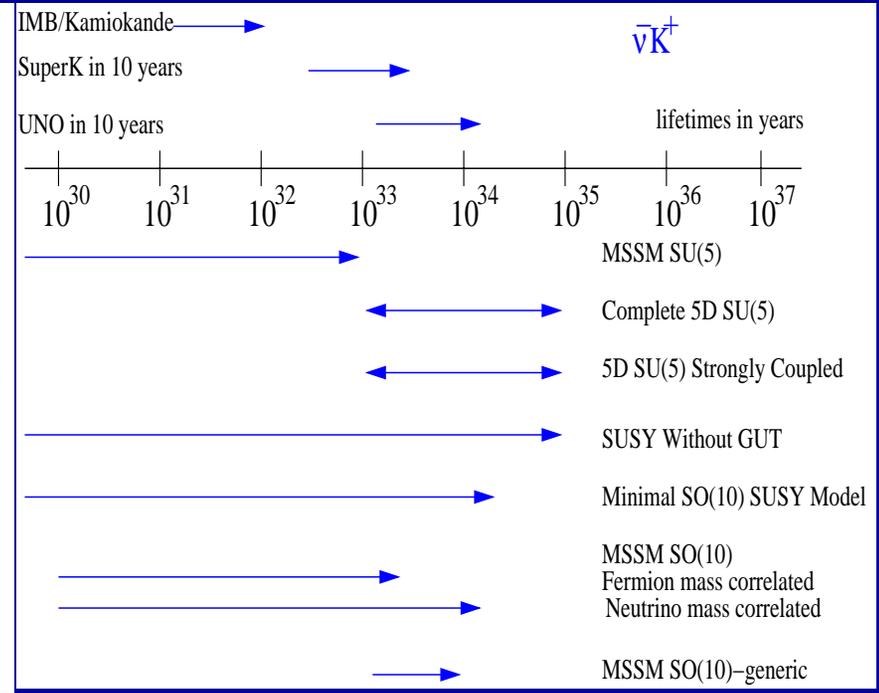
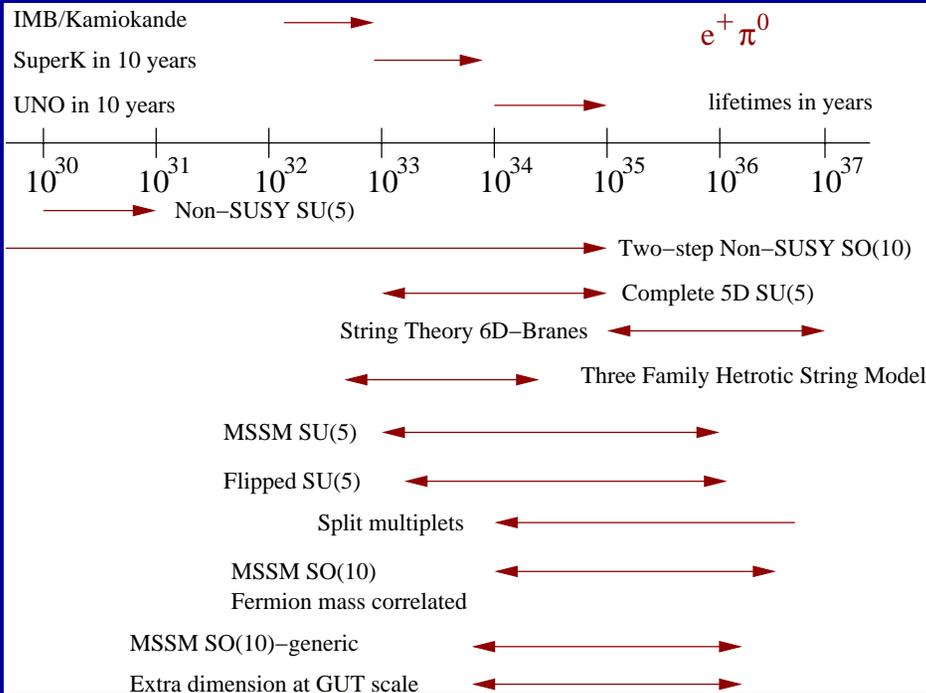
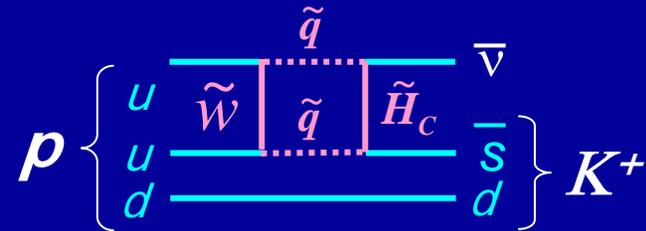
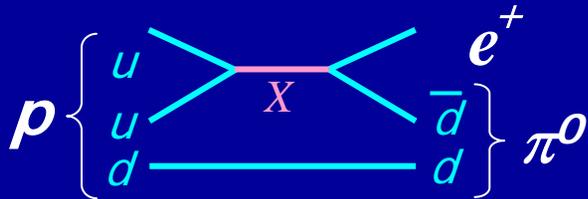
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# Proton Decays

## □ Bench mark proton decay modes





# Proton Decay $p \rightarrow e^+ \pi^0$

## Event selection (different from SK cuts)

- 2 or 3 e-like rings with  $E_{\text{ring}} > 30 \text{ MeV}$
- No decay electron
- For 3-ring events:
  - $0.085 < m_{\gamma\gamma} < 0.185 \text{ MeV}/c^2$  for SK PMT
  - $0.010 < \dots < 0.220$  for  $\frac{1}{4}$  SK PMT
- $0 < \chi^2 < 6$  from kinematical fit:

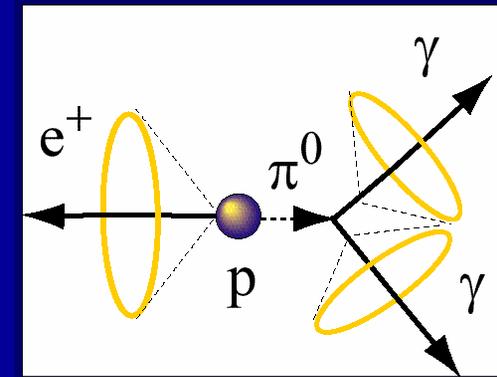
For 3-ring events with  $m_{\gamma\gamma e} = m_p$ ,  $m_{\gamma\gamma} = m_{\pi^0}$

$$\chi^2 = \sum_{i=\gamma_1, \gamma_2, e} \frac{(p_i^{\text{mes}} - p_i^{\text{fit}})^2}{\sigma_{p_i}^2} + \frac{(\theta_i^{\text{mes}} - \theta_i^{\text{fit}})^2}{\sigma_{\theta_i}^2} + \frac{(\phi_i^{\text{mes}} - \phi_i^{\text{fit}})^2}{\sigma_{\phi_i}^2}$$

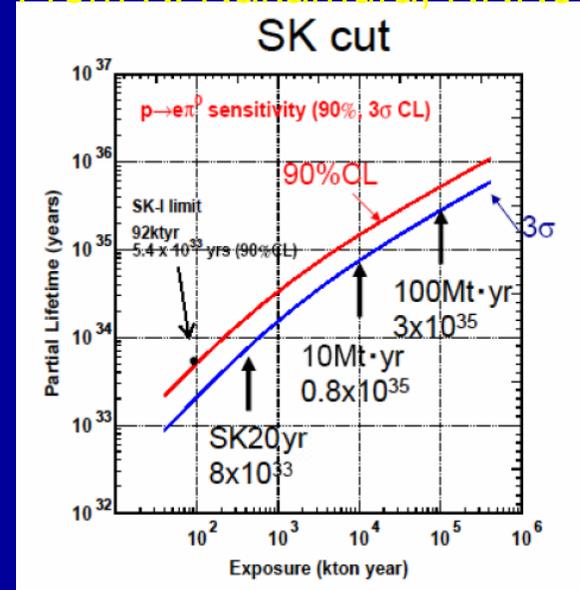
For 2-ring events with  $m_{\gamma e} = m_p$

$$\chi^2 = \sum_{i=\gamma_1, e} \frac{(p_i^{\text{mes}} - p_i^{\text{fit}})^2}{\sigma_{p_i}^2} + \frac{(\theta_i^{\text{mes}} - \theta_i^{\text{fit}})^2}{\sigma_{\theta_i}^2} + \frac{(\phi_i^{\text{mes}} - \phi_i^{\text{fit}})^2}{\sigma_{\phi_i}^2}$$

- $P_b = |\vec{\Sigma} \vec{p}_i| < 0.2 \text{ GeV}/c$  after the fit



From K. Nakamura, NNN06

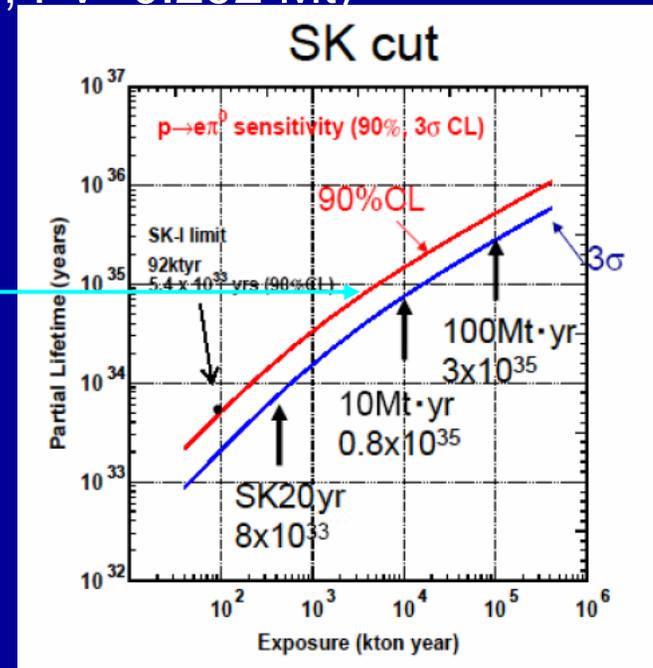




# Proton Decay $p \rightarrow e^+ \pi^0$

## □ Sensitivity at 90% C.L.

- Central compartment (40% PMT coverage, FV=0.151 Mt)
  - Expected background 0.11 ev/yr
  - $\epsilon_{\text{signal}}=0.34$
  - sensitivity  $5.4 \times 10^{34}$  yr (10 yrs)
  - $9.3 \times 10^{34}$  yr (20 yrs)
- Side compartment (10% PMT coverage, FV=0.292 Mt)
  - Expected background 0.39 ev/yr
  - $\epsilon_{\text{signal}}=0.24$
  - sensitivity  $5.0 \times 10^{34}$  yr (10 yrs)
  - $7.1 \times 10^{34}$  yr (20 yrs)
- All compartments (FV=0.443 Mt)
  - Expected background 0.50 ev/yr
  - $\epsilon_{\text{signal}}=0.28$
  - sensitivity  $8.2 \times 10^{34}$  yr (10 yrs)
  - $1.2 \times 10^{35}$  yr (20 yrs)

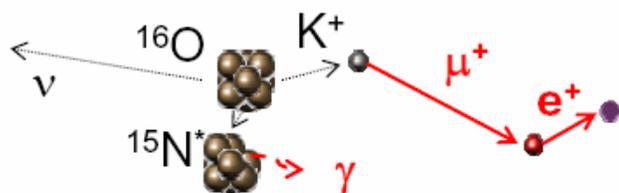




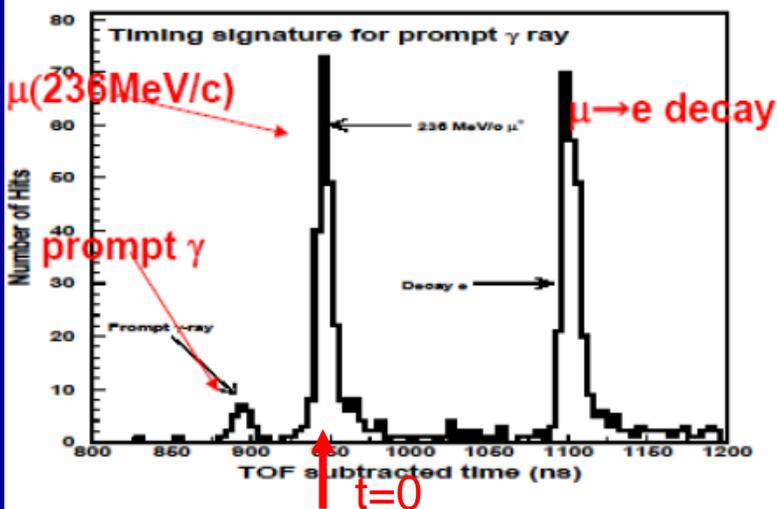
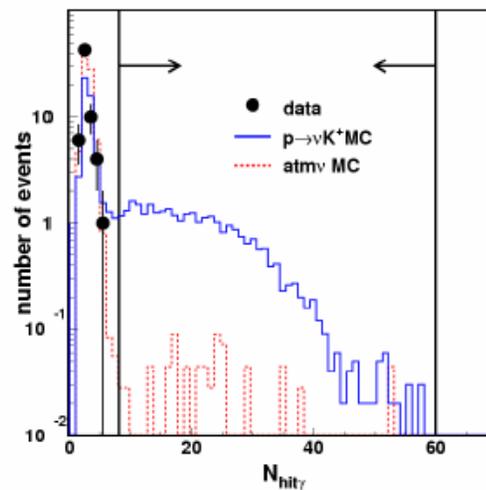
# Proton Decay $p \rightarrow \nu K^+$

Most promising among 3 standard Super-K analyses (Nakamura NNN06)

prompt  $\gamma$  tag



- 1  $\mu$  and 1 decay electron
- $215 < P_\mu < 260$  MeV/c
- no proton
- maximize  $N_{HIT_\gamma}$  in the 12 ns sliding time window,  $7 \leq N_{HIT_\gamma} \leq 60$



SK-I 1489 days or 92 kton $\cdot$ yr

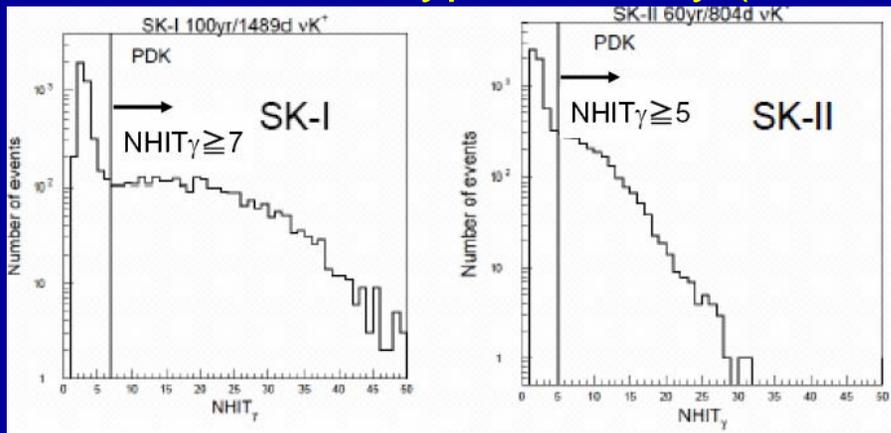
$\epsilon = 8.6 \%$ , 0.7 exp'd BG, 0 candidate

From K. Nakamura, NNN06

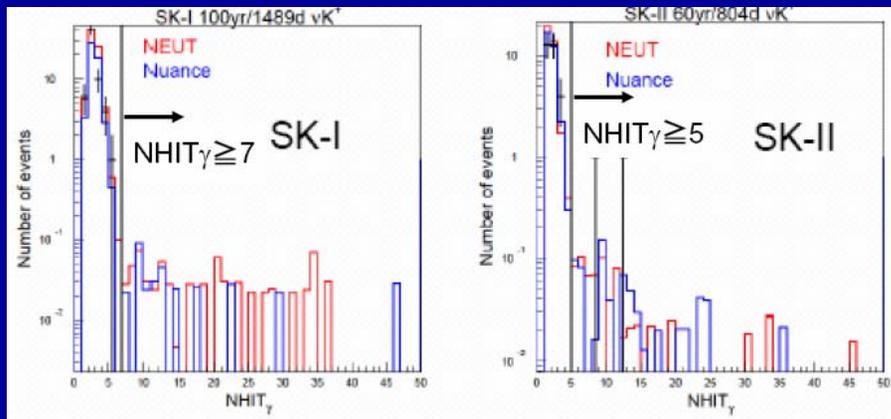


# Proton Decay $p \rightarrow \nu K^+$

## Results from Hyper-K study (Nakamura NNN06)



	SK-I (40% photocathode coverage)	SK-II (19% photocathode coverage)
Efficiency*	8.6%	4.7%
Background	0.008 ev. /kton/year	0.01 ev. /kton/year



- Photocathode coverage vs. eff. 40%  $\rightarrow$  20% vs. 8.6%  $\rightarrow$  4.7% for the same background level.
- It is not yet known how much the efficiency will be reduced for the PMT coverage of 10%  $\rightarrow$  for future study.
- A good news is : most of background events come from misfitted vertex position. (Shiozawa NNN02)



# Proton Decay $p \rightarrow \nu K^+$

## Case for UNO

- Keep the same background rejection power and the same efficiency for the SK-I PMT coverage:

For SK-I PMT coverage (K. Nakamura, NNN06)

SK-I coverage (40%)

$$\varepsilon_I = 8.6\%$$

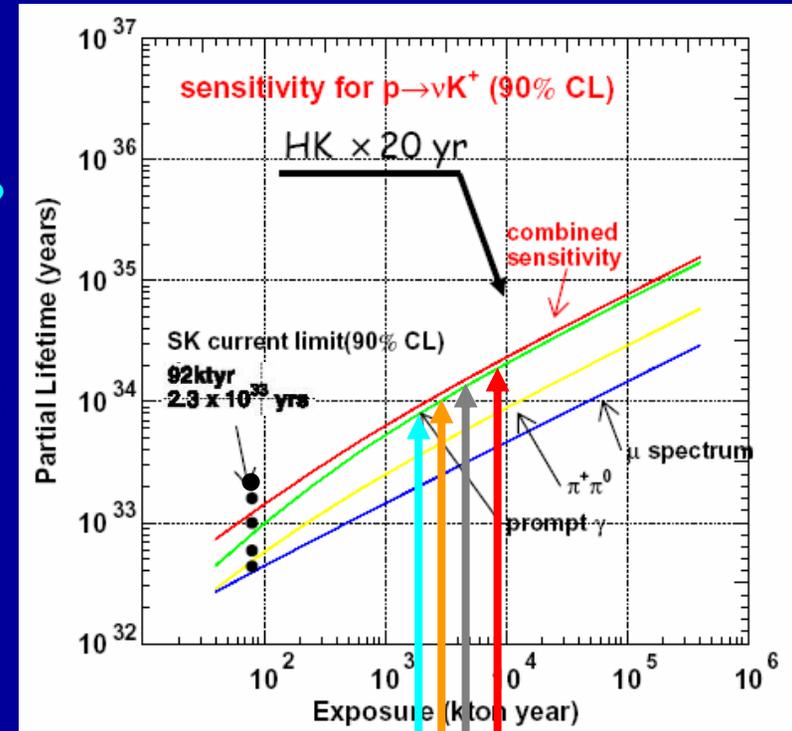
1/2 SK-I coverage (20%)

$$\varepsilon_{II} \sim 4.7\%$$

1/4 SK-I coverage (10%) assume  $\varepsilon_{1/4} \sim 2.1\%$ ?



- UNO with 40%+40% coverage (UNO40):  
F.V.=0.44 Mt
- UNO with 40%+20% coverage (UNO20):  
F.V.= 0.31 Mt with 40% PMT coverage.
- UNO with 40%+10% coverage (UNO):  
F.V.= 0.22 Mt with 40% PMT coverage.



UNO40 10 years  
UNO20 10 years  
UNO 10 years

UNO40 20 years



# Proton Decay $p \rightarrow \nu K^+$ and $p \rightarrow e^- \pi^+ \pi^+$

## □ Potential improvement

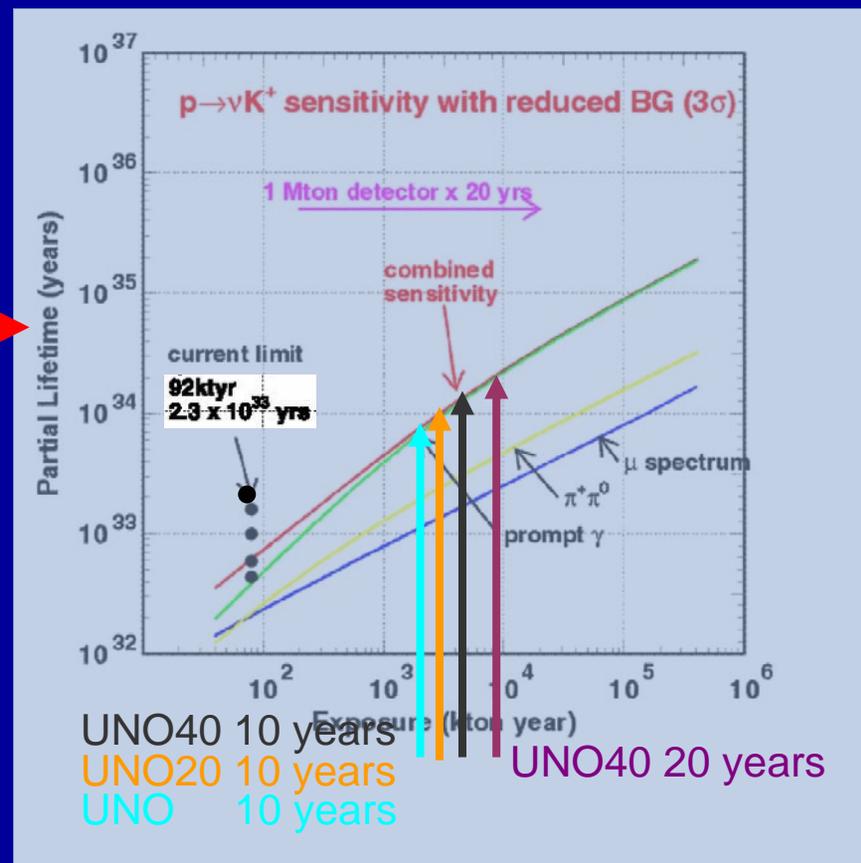
### ■ Major background

- $\sim 6$  events/Mtyr from single-ring  $\mu$ ,  $\pi$ , and  $p$  events with misfitted vertex position: **Can be improved.**
- If we manage to remove these, then even  $3\sigma$  sensitivity looks good.  $\rightarrow$

## □ $p \rightarrow e^- \pi^+ \pi^+$ (B-L violating)

- Analysis in progress at Stony Brook using SK data but the result is not official
- Interesting decay channel to look for with UNO too

For SK-I PMT coverage (Shiozawa, NNN02)





# Conclusions

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- ❑ UNO could be the key to advance our knowledge about neutrino:
  - Precise measurement of  $\theta_{23}$  and  $\Delta m_{23}^2$
  - Measurement of  $\theta_{13}$  and possibly  $\delta_{CP}$
  - Determine the sign of  $\Delta m_{23}^2$  to find out hierarchy  
See Fermilab/BNL study report : [arXiv.0705.4396](http://arxiv.org/abs/0705.4396)  
Also a detailed study of water Cherenkov at :  
<http://nngroup.physics.sunysb.edu/UNO/publications> or NNN06 Proceedings
- ❑ UNO could be the key to open a door to new era of particle physics if Nature is kind enough to let us detect nucleon decays.
- ❑ UNO could be one of the most cost-effective multi-purpose detectors, given the rich list of physics to be done.
- ❑ More work needed to optimize the UNO design:  
PMT coverage, granularity, PMT performance, improvement of software



# Backup Slides

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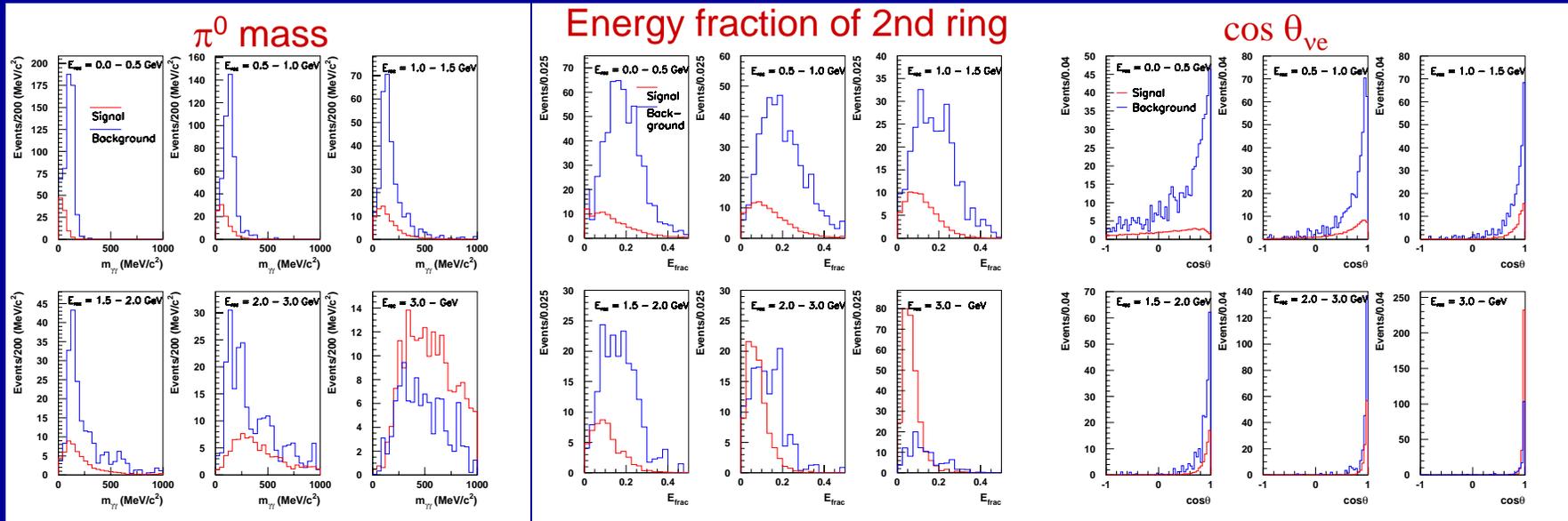
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# Very Long Baseline Neutrino Oscillation

## □ Likelihood analysis using the following 9 variables:

- $\pi^0$  mass ( $\pi^0$ mass)
- energy fraction (efrac)
- $\cos\theta_{ve}$
- $\pi^0$ -likelihood ( $\pi^0$ -like)
- e-likelihood (e-like)
- $\Delta \log \pi^0$ -likelihood ( $\Delta \log \pi^0$ like)
- single ring-ness (dlfct)
- total charge/primary ring energy (poa)
- Cherenkov angle (ange)





# Very Long Baseline Neutrino Oscillation

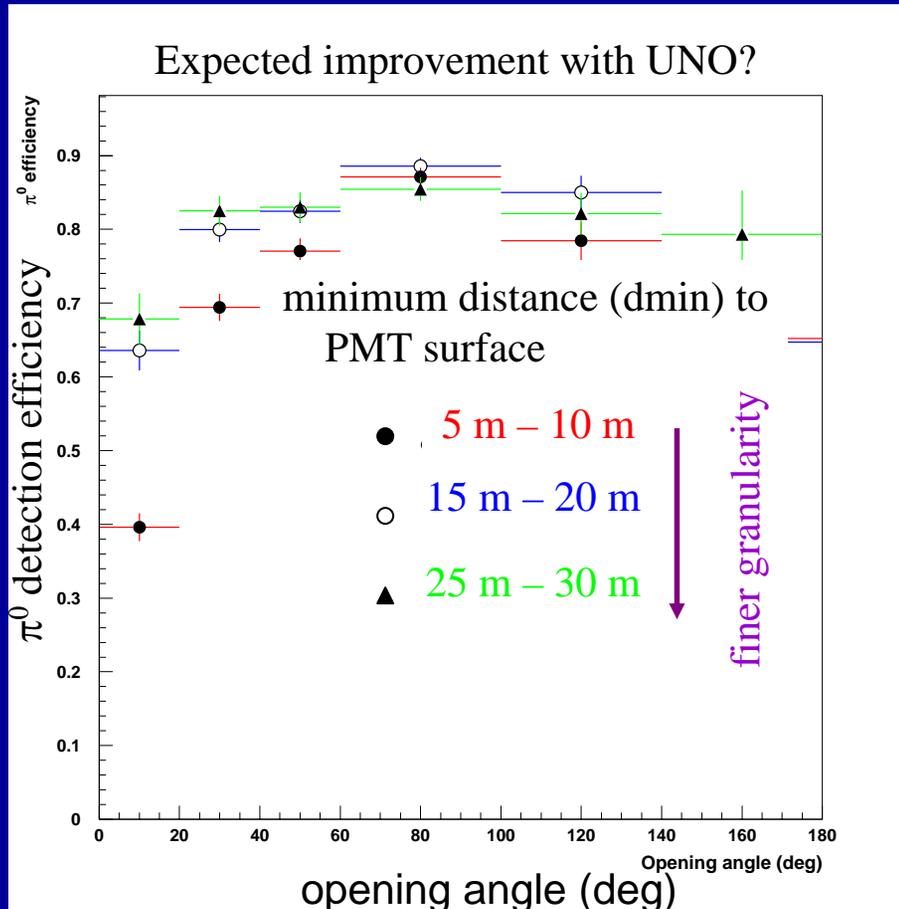
□ Breakdown of sources of signal and background events (2,540 km):

Interaction mode	$0 < E_{\text{rec}} < 1 \text{ GeV}$		$1 < E_{\text{rec}} < 2 \text{ GeV}$		$2 < E_{\text{rec}} < 3 \text{ GeV}$		$3 \text{ GeV} < E_{\text{rec}}$	
	Sig	Bkg $\pi^0$	Sig	Bkg $\pi^0$	Sig	Bkg $\pi^0$	Sig	Bkg $\pi^0$
CC QE	82%	7%	69%	1%	28%	0%	50%	0%
1 $\pi^0$	3%	3%	5%	8%	11%	0%	8%	0%
1 $\pi^{+-}$	14%	7%	22%	1%	45%	0%	30%	0%
DIS	1%	0%	3%	1%	15%	18%	13%	0%
NC 1 $\pi^0$	0%	39%	0%	68%	0%	23%	0%	25%
1 $\pi^{+-}$	0%	29%	0%	3%	0%	0%	0%	0%
DIS	0%	11%	0%	9%	0%	59%	0%	75%
Others	0%	3%	1%	10%	3%	0%	0%	0%



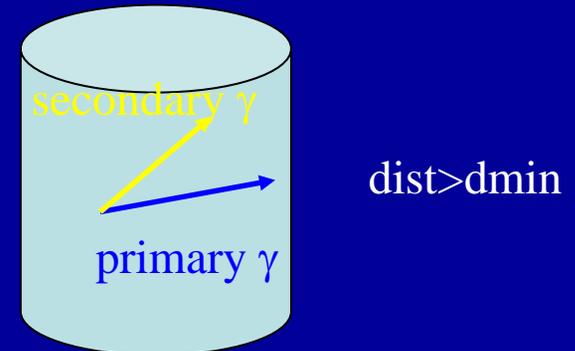
# Very Long Baseline Neutrino Oscillation

## Granularity and $\pi^0$ efficiency for same PMT coverage



Compared with a smaller detector

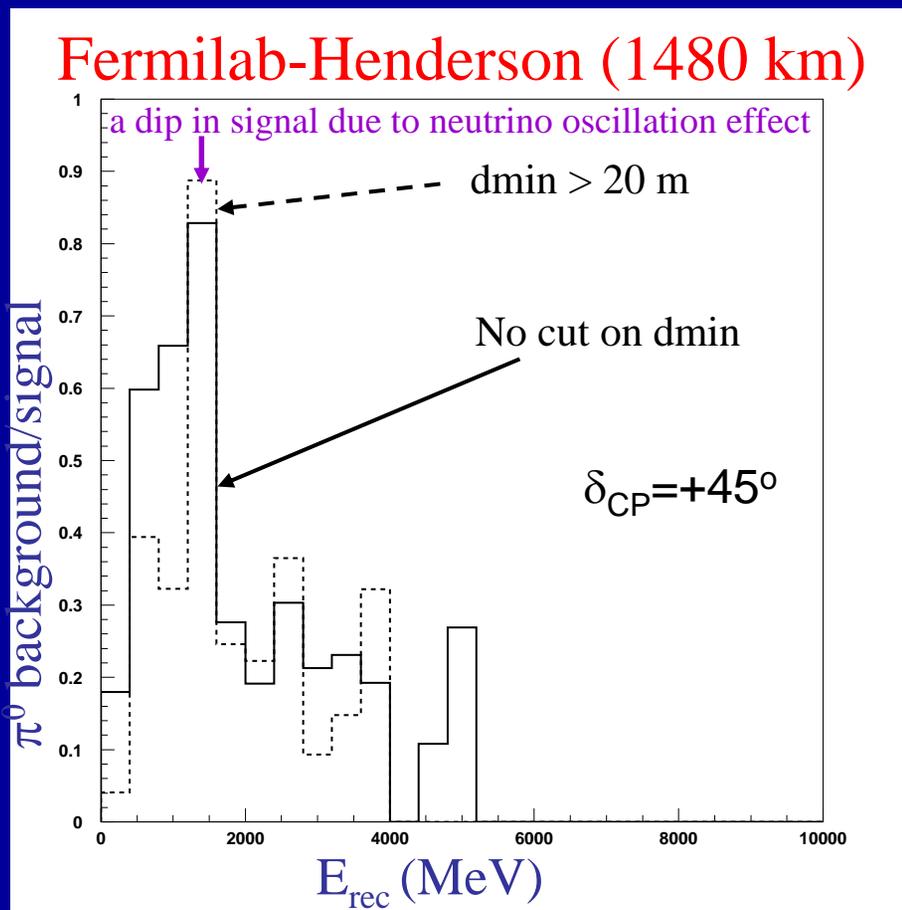
- $\pi^0$  efficiency improves when the min. distance increases when the opening of two photons from  $\pi^0$  is smaller than about  $40^\circ$ .
- For smaller  $\pi^0$  opening angle finer granularity is needed.
- What PMT coverage needed?  
10,20,40% (SK-I and SK-III has 40% coverage) ?





# Very Long Baseline Neutrino Oscillation

## □ Effect of granularity on $\pi^0$ background/signal



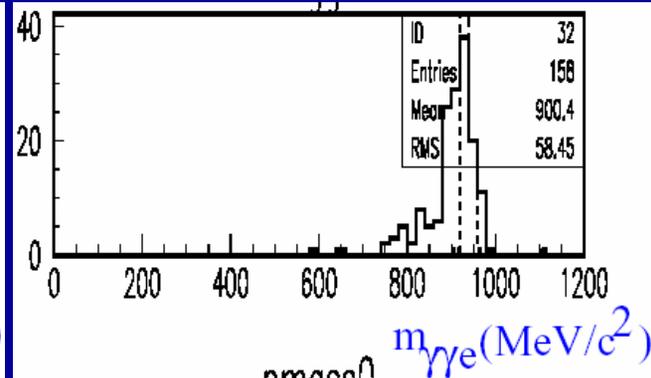
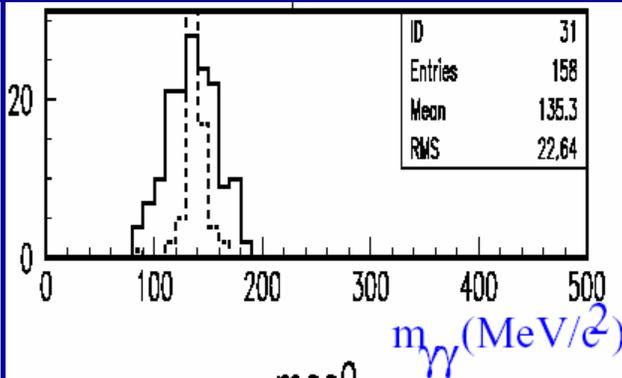
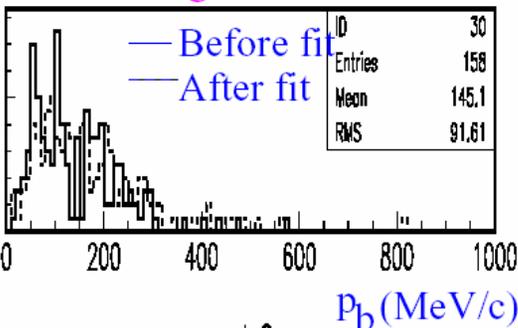
A larger water Cherenkov detector does a better job to distinguish the signal from the  $\pi^0$  background at the reconstructed energy below 1.2 GeV.



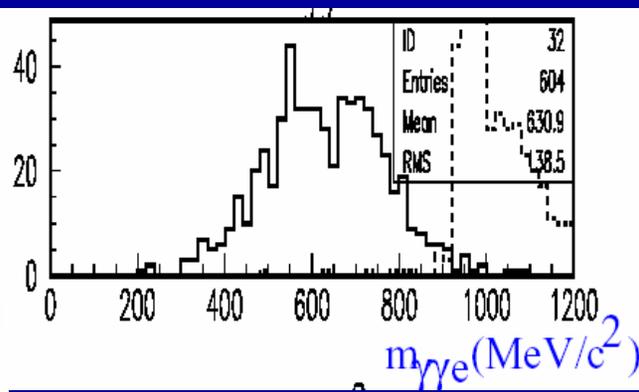
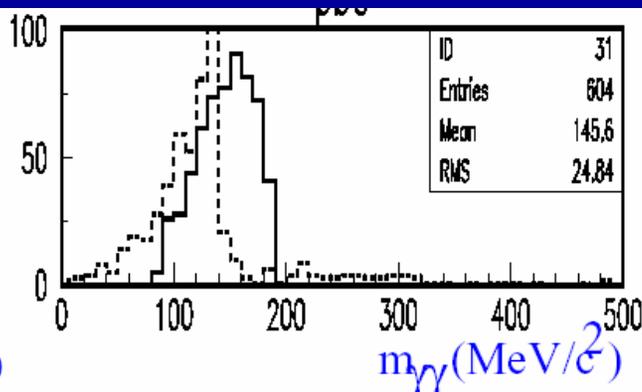
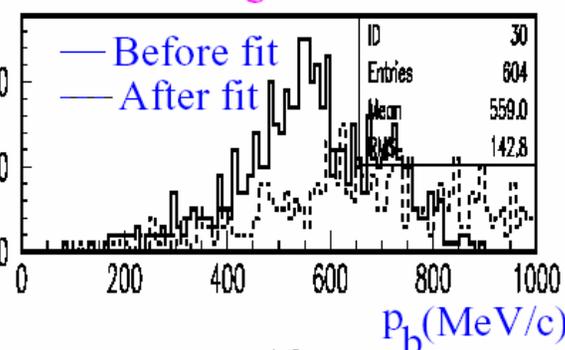
# Proton Decay $p \rightarrow e^+ \pi^0$

□ Distributions before and after  $\chi^2$  fit (10 iterations)

$p \rightarrow e\pi$  3ring 1/1 SK PMT



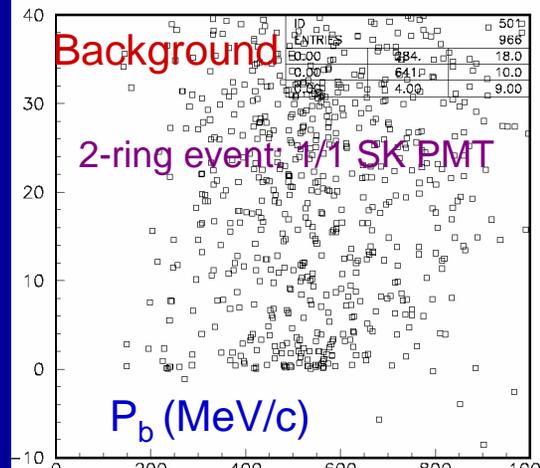
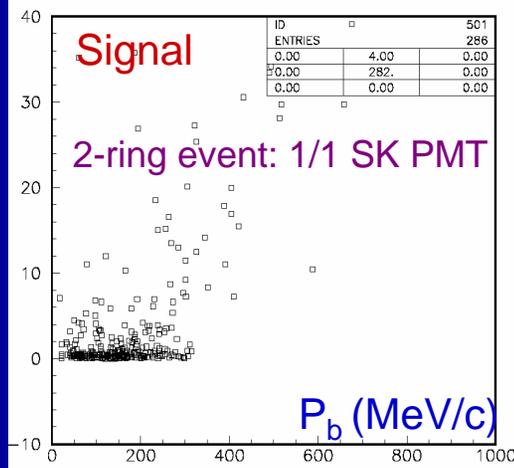
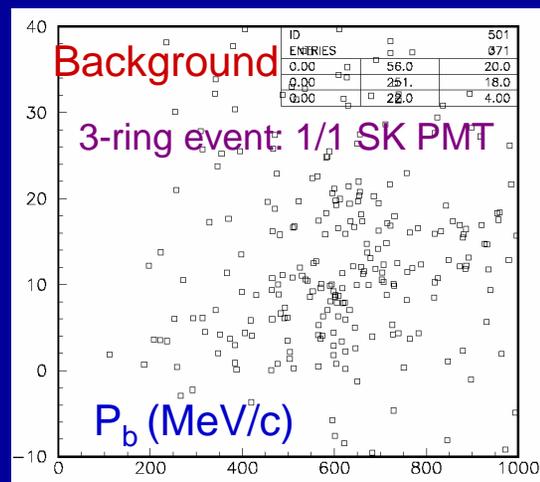
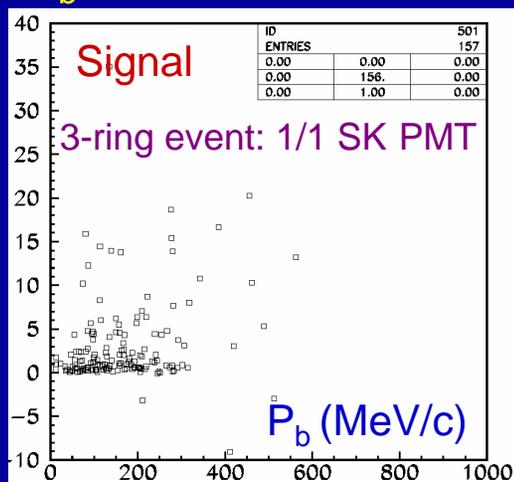
atm.  $\nu$  3ring 1/1 SK PMT





# Proton Decay $p \rightarrow e^+ \pi^0$

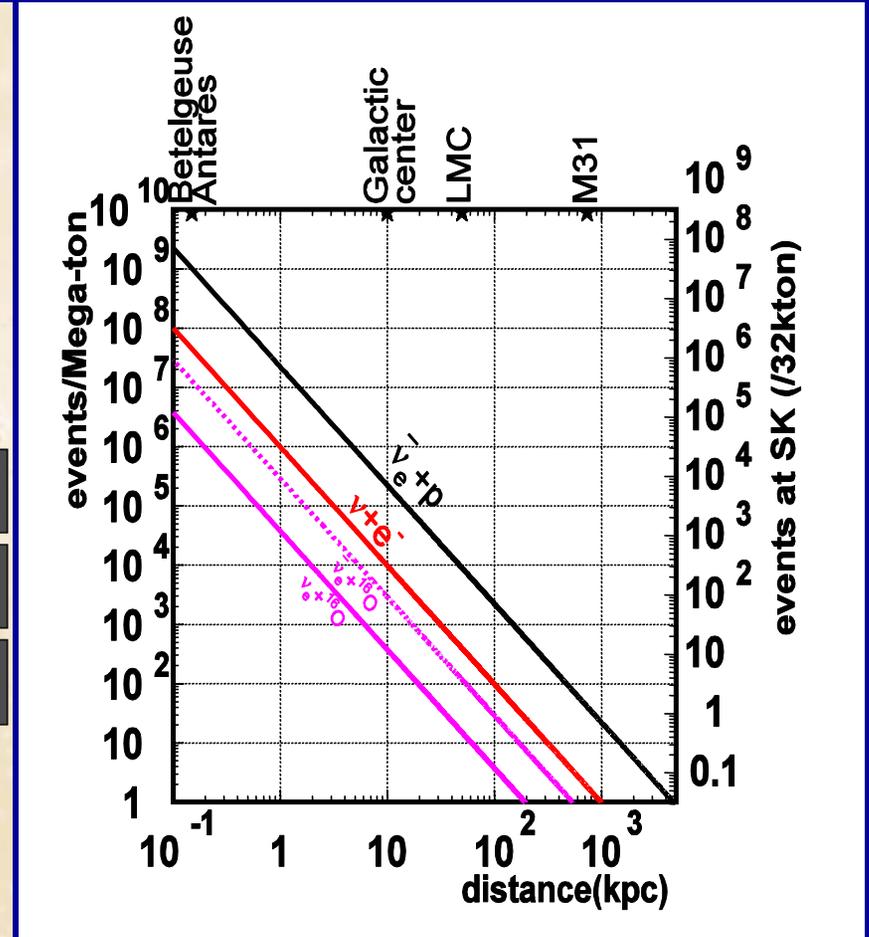
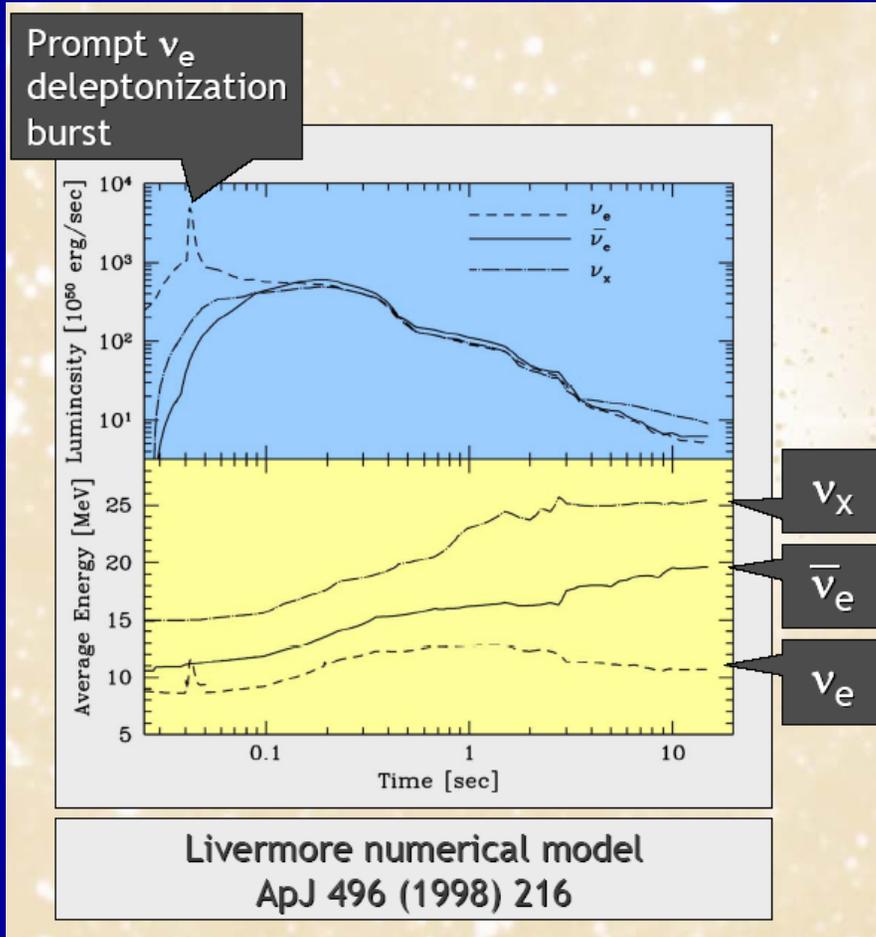
□  $\chi^2$  vs.  $P_b$





# Neutrinos from Supernovae

- New SN explosions from local galaxies (including M31)



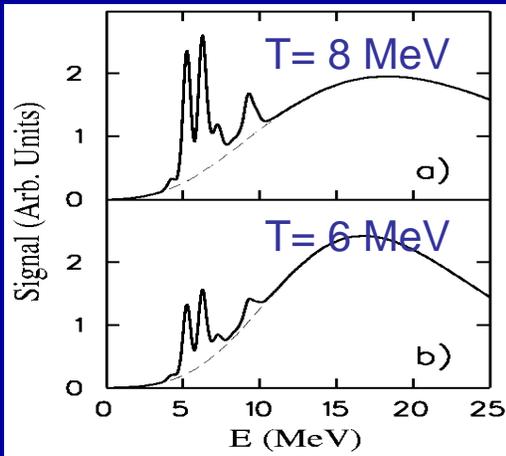


# Neutrinos from Supernovae

## What could UNO do if a SN exploded at 10 kpc?

- For a SN at 10 kpc, UNO would detect 130k inverse beta decay events, 4.5k elastic scattering events, 4,500 NC events in the central compartment.
- High statistics might lead to our first observation of the birth of a black hole
- UNO is big enough to observe a supernova explosion even in Andromeda

Neutral current events  $\nu_x + {}^{16}\text{O} \rightarrow \nu_x + \gamma + X$



where  $X = {}^{15}\text{O}, {}^{15}\text{N}, \dots$

